

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
BAO et al.)	Group Art Unit: 1634
)	
Application Serial No.: 10/729,619)	Examiner: Whisenant, Ethan C.
)	
Filing Date: December 5, 2003)	Confirmation No.: 2934
)	
For: TREATMENT OF SUBSTRATES FOR)	
IMMOBILIZING BIOMOLECULES)	
)	
)	

DECLARATION UNDER 37 C.F.R. § 1.131

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

GARDNER GROFF
SANTOS & GREENWALD, P.C.
Customer Number 23506

Sir:

I declare that:

1. The effective filing date of U.S. Patent Publication No. 2005/0059137 to Lee ("the Lee publication") under 35 U.S.C. § 102(e) is May 31, 2001.
2. Attached in Exhibit A is a copy of an invention disclosure report. In the report, it is shown that treatment of arrays with sodium borohydride followed by hybridization results in the elimination of autofluorescence.
3. Based on the evidence presented herein, the invention was conceived and reduced to practice in the United States as recited in U.S. application serial no. 10/729,619 prior to May 31, 2001.

4. I further declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine, imprisonment, or both, under U.S.C. Title 18, § 1001, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

DATED: July 5, 2006



Thomas R. Beall
Authorized Attorney for Assignee

B. Problem Solved

We have successfully demonstrated that sodium borohydride (0.25%) treatment prior to hybridization of the labeled probes results in significant elimination of background/auto-fluorescence on cDNA and oligo arrays. This in turn resulted in distinct improvement in the quality of fluorescing signals thereby increasing the sensitivity of detection. In addition, this treatment with sodium borohydride did neither affect DNA retention on the slide nor the hybridization efficiency of target to the probe as evidenced by the hybridization results.

This technique is rapid, reproducible and easily applicable for reducing auto-fluorescence, and can be used in single and dual color applications on DNA, Oligo and Protein/Antibody/Peptide arrays.

C. Detailed Description of Invention

Method for labeling and hybridization

Reagents. Human RNA (Clontech); Superscript II reverse transcriptase, DTT, RNase H, formamide,); random 6mers, human Cot 1 DNA (Life Technologies); Cy3-dCTP, Cy5-dCTP, (Perkin Elmer), RNase A (USB), QIAquick PCR purification kit (Qiagen); and bovine serum albumin (BSA), dextran sulfate, nuclease-free water, and poly A (Sigma) were all used as received.

Procedure:

- I Scan microarrays to obtain auto-fluorescent signal before NaBH_4 treatment. A GenePix 4000A (Axon Instruments) fluorescence scanner was used to obtain the Cy3/Cy5 fluorescence images using a PMT setting of 700-950 volts. All images were analyzed using GenePix Pro 3.0 analysis software (Axon Instruments).
- II NaBH_4 treatment:
 1. Freshly made pre-hybridization solution: 2X SSC/0.05% SDS/0.2% BSA (use fresh made BSA).
 2. Add 100 ml of pre-hyb solution into a Coplin jar and warm up solution to 42°C in water bath (takes about 20-30 min) and soak slides (max. 4 slides/jar) for 10 min, at 42°C.
 3. Transfer slides to Coplin jar filled with 2X SSC/0.05% SDS/ 0.25% NaBH_4 at 42°C for 15 min.
 4. Transfer slides to Coplin jar filled with 1X SSC at RT for 2 min.
 5. Transfer slides to Coplin jar filled with 0.2X SSC at RT for 2 min.

6. Repeat step 5 twice
7. Dry slides by spinning at 2000 rpm, 2min, 25°C.

III Scan microarrays again to examine the elimination of auto-fluorescence.

RNA Labeling. A 22 μL solution containing 2 μg human poly A RNA and 2.5 μg random 6mers was incubated for 5 minutes at 70° C, briefly chilled on ice and then added to a 20 μL solution containing i) 8 μL 5X Superscript II buffer; ii) 4 μL 100mM DTT, iii) 2 μL of a dNTP mixture consisting of 10mM each dGTP, dATP, dTTP, and 1mM of dCTP, iv) 2 μL Cy3 or Cy5 dCTP (1mM), and vi) 2 μL reverse transcriptase. This 40 μL reaction mixture was incubated for 10 minutes at room temperature followed by an hour incubation at 42° C. After addition of 1 μL of reverse transcriptase, the mixture was incubated for an additional hour at 42° C. RNA was degraded by the addition of 1 μL RNase H and 0.25 μL RNase A followed by incubation for 15 minutes at 37° C. Probes were purified using a QIAquick PCR purification kit according to the manufacturer's instructions. The cDNA concentration and the amount of Cy3/Cy5 incorporation was measured on an Agilent 8453E UV-Vis spectrophotometer.

Hybridization.

Each array was hybridized with a solution consisting of 29% formamide, 2.25X SSC, 6% dextran sulfate, 0.17 $\mu\text{g}/\mu\text{L}$ poly A, 0.10 $\mu\text{g}/\mu\text{L}$ Col 1 DNA, 0.2% BSA, and a given amount of labeled cDNA. For hybridization, 60 μL of this solution was spotted onto the array and then spread over the entire surface using a 24mm x 60 mm coverslip. The arrays were incubated overnight at 42° C.

Results:

A remarkable success in the elimination of auto-fluorescence was achieved with sodium borohydride treatment.

1. Fig.1 shows the images of the arrays before and after treatment with 0.25% sodium borohydride. Analysis of the images showed that the average signal intensity due to auto-fluorescence before treatment was 3196 \pm 2486 which upon washing with the prehyb solution was reduced to 840 \pm 249. When sodium borohydride was added to the prehyb solution, the signal intensity was reduced to 100 \pm 42. A similar trend was observed in background signal intensity.
2. Fig.2 shows the reduction of auto-fluorescence in cancer arrays. When the reduction process with sodium borohydride was extended to 30 min greater elimination of auto-fluorescence was achieved as can be clearly seen from the figure.
3. Similarly, the elimination of auto-fluorescence on oligo arrays was quite significant with 0.25% sodium borohydride as depicted in Fig.3

Hybridization results:

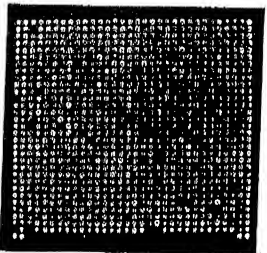
1. Hybridization of human 6K arrays (treated and untreated with sodium borohydride) with 0.25 μg Cy3, Cy5 labeled probes from human brain total RNA showed that in untreated slides the Cy3 auto-fluorescence significantly affected the signal to noise

ratio in comparison to slides treated with 0.25% sodium borohydride. This ultimately led to improvement in gene expression profile. These results are clearly depicted in Fig. 4.

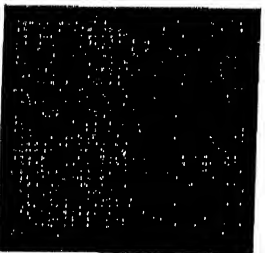
2. Fig. 5 shows the self-self hybridization on 4k cancer arrays after treatment with sodium borohydride. Data analysis showed an excellent correlation of signal intensities in both Cy3 and 5 channels. The dynamic range of detection was greater than 2.5 logs. The gene expression ratio was found to be in the range of 0.5-1.5 which is well within the statistically accepted range. The expression profile of genes was quite consistent on duplicate arrays printed on the same slide.
3. Hybridization on Oligo arrays also showed improved signal /noise ratio after treatment with sodium borohydride in comparison to the untreated arrays as can be seen from Fig. 6.

Reduction of Auto-Fluorescence and Cy3 Background

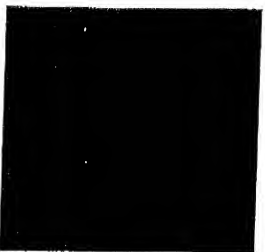
Before Treatment



After Pre-Hyb without
Reducing reagent



After Pre-Hyb with
reducing reagent



Median Cy3 RFU

Spot: 3196 +/- 2486

Bgd: 237 +/- 56

Median Cy3 RFU

Spot: 840 +/- 249

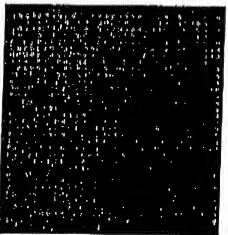
Bgd: 264 +/- 37

Median Cy3 RFU

Spot: 100 +/- 42

Bgd: 66 +/- 5

Auto-Fluorescence Reduction with Reducing Reagent on Cancer Array



Without NaBH₄



With NaBH₄ -20 min



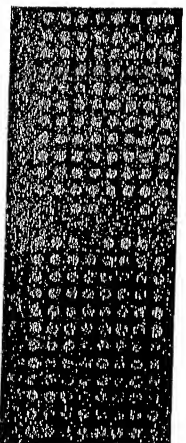
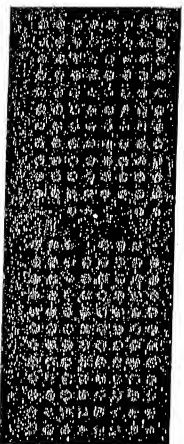
With NaBH₄-10 min.



With NaBH₄-30 min.

Oligo Array

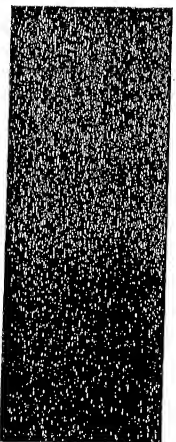
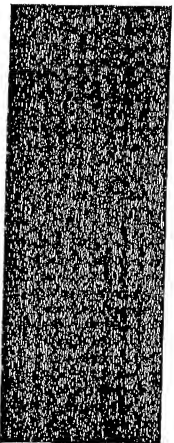
Before Pre-wash



Slide #1204368

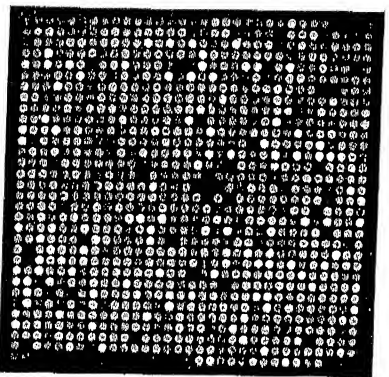
After Pre-wash

Slide #1204366

Without NaBH_4 With NaBH_4

Conclusion: NaBH_4 treatment significantly reduces oligo array (targets and GAPS surface) auto-fluorescence.

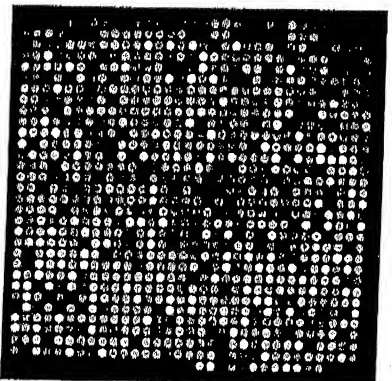
Human 6k array with Human Brain RNA Probe Self-Self Hybridization
(Sub-Grid 5)



Without NaBH4 Treatment

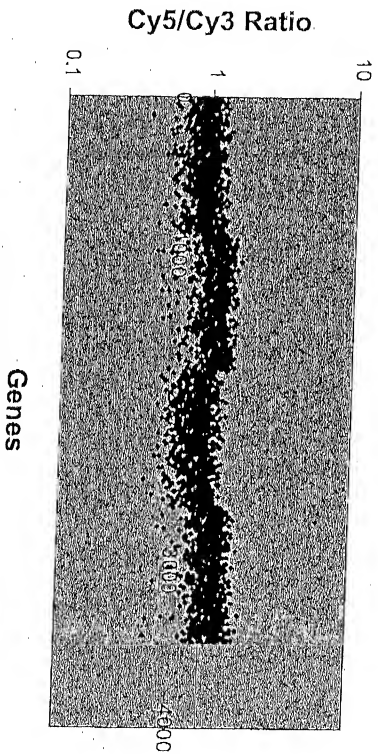
Results:

1. Cy3 auto-fluorescence affects signal ratio in the array without NaBH4 treatment.
2. NaBH4 treatment improved gene expression profiling.

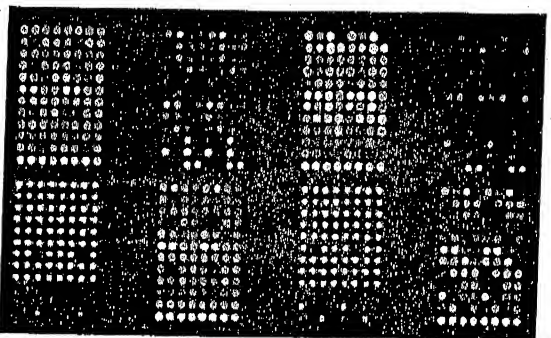
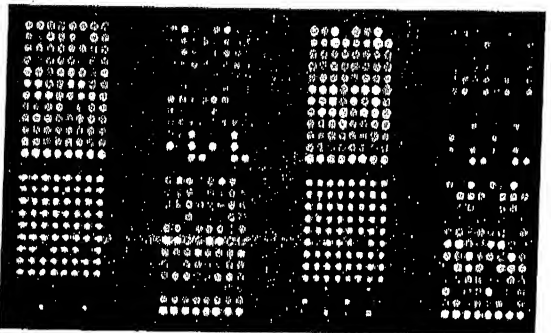


With NaBH4 Treatment

**Cy5/Cy3 Ratio of Self-Self Hyb
with 4K Cancer Array (10 min NaBH₄ Treatment)**



Liver + Bacterial Probe Hybridization on Oligo Array

Without NaBH₄ prewashWith NaBH₄ prewash